

6-21-04  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

C.C: DR. FARMER Naomi

Applicant: Jun-Rong Lin

Serial No.: 10/010,059

Filed: 11/08/2001

Title: EXTRUSION MOLDING MACHINE

Examiner: COOLEY, CHARLES E

Group Art Unit: 1723

Dear Dr. Cooley, Charles:

2004/06/15

Good Morning

Thanks and received your email regarding my Patent Application 10/010,059

I will and am willing to pay the fee I have to; but Please do reconsider your ACTION date 12/18/2003. And try to remember why you send Final Rejection AGAIN (it is because I did not receive your Final Rejection 08/14/2003).

I am sure you will find the "reply to this final action is set to expired THREE MONTHS from the mailing date of the action". on your Action date 12/18/2003. To my understanding, I should pay Extension fee US\$165 only, because REC fee is not required; the reason as following:

1. I did not receive your final rejection 08/14/2003, and that is why you send final rejection again date 12/18/2003;
2. REC penalty fee is not apply to my application as the reason above, and quote on you ACTION date 12/18/2003, I am sure I do have THREE month allowed to reply timely and the due date is 03/18/2004.
3. Your Advisory 03/10/2004 arrival my office on 03/22/2004, it is expired the due date 03/18/2004.
4. Please do reconsider applicant lives in OUTSIDE U.S.A. I do have the problem to receive the mail as American Resident does!!

I am sure you do not like my application Abandon; and do please confirm my statement above reasonable, and advice all have to pay is extension fee US\$165.

Please reply as you received for your setting due date is 06/18/2004!!!!

Please find enclosed file: ACTION 12/18/2003

Best regards

*Jun-Rong Lin*

Applicant Jun-Rong Lin:

jerry

寄件者: "Cooley, Charles" <Charles.Cooley@USPTO.GOV>  
收件者: <chptc.chptc@msa.hinet.net>  
傳送日期: 2004年6月15日 上午 03:59  
主旨: Patent Application 10/010,059



Applicant Jun-Rong Lin:

In response to your query on fees, the six month period for response to the final rejection of 18 DEC 2003 ends 18 JUN 2003. Any response filed between now and the deadline of 18 JUN will require a three-month extension of time fee ( for a small entity this fee is \$475.00). Our database shows no fees have been paid since 3 JUN 2003. If a response with the required fee is not submitted by the deadline the application will become abandoned. Note the response must place the application in condition for allowance without raising new issues to consider or the response will not be entered and the application will go abandoned as of 18 JUN 2004. To keep the case pending past 18 JUN 2004, a notice of appeal with the required fee (for a small entity this fee is \$165.00) in addition to the three month extension of time fee would be required to avoid abandonment. The notice of appeal essentially prevents the application from becoming abandoned and permits applicant two months to file a response (which as above may or may be not entered as entry of a response is not a matter of right after final rejection) or to file an appeal brief which appeals to the examiner's rejections. Extensions of time can be bought to further extend the two months if needed.

Another option to consider is to file a request for RCE (which requires a fee of \$385.00) with the three month extension of time fee (\$475.00) which effectively restarts examination on the application and permits entry of any amendment after final which has been filed.

Forms needed to file the responses explained above can be found at [www.uspto.gov/web/forms/index.html](http://www.uspto.gov/web/forms/index.html).

Hope this is helpful.

Exr. Cooley



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/010,059	11/08/2001	Jun-Rong Lin	PUSA210907	7843

7590 12/18/2003  
Jun-Rong Lin  
No. 2, Alley 492  
Hal Tien Rd. Sec. 2  
Tainan,  
TAIWAN



EXAMINER

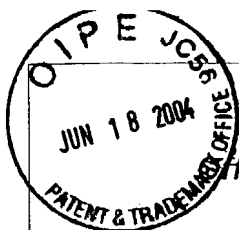
COOLEY, CHARLES E

ART UNIT	PAPER NUMBER
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1723

DATE MAILED: 12/18/2003

Please find below and/or attached an Office communication concerning this application or proceeding.



# Office Action Summary

Application No.

10/010,059

Applicant(s)

LIN, JUN-RONG

Examiner

Charles E. Cooley

Art Unit

1723

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE \_\_\_\_ MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 03 June 2003.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1 and 3-6 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1 and 3-6 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.



## Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☒ The proposed drawing correction filed on 03 June 2003 is: a) ☒ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☒ Other: Information on Revised Amendment Practice

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## DETAILED ACTION

### *Remarks*

1. Applicant filed a "Change of Correspondence Address" form on 03 JUN 2003 which was not processed until 5 NOV 2003. Consequently, the Final rejection mailed 14 AUG 2003 was sent to an improper address. The mailing address has been corrected in the database. Applicant claims the final office action was not received and filed a request to remail the final rejection on 30 OCT 2003. Accordingly, since Applicant timely filed a change of address yet the final rejection was not mailed to the proper address, the period for response to this final office action is restarted to begin with the mailing date of this final office action.

2. Applicant also filed an amendment on 7 NOV 2003 which amends claims 1, 3, 4, 5, and 6 and cancels claim 2. This amendment is improper and nonresponsive because it does not preserve the original numbering of claims (claims 3-6 should not be renumbered) and claim 1 contains amendatory subject matter which depends from claim 1. This amendment has not been entered. The final rejection therefore considers the amendment filed 3 JUN 2003 on the merits as set forth below.

### *Drawings*

1. The drawing correction to Figure 1 filed 03 JUN 2003 is approved.

### *Specification*

2. The abstract and amended title are acceptable.



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3. The amendment filed 03 JUN 2003 is objected to under 35 U.S.C. 132 because it introduces new matter into the disclosure. 35 U.S.C. 132 states that no amendment shall introduce new matter into the disclosure of the invention. The added material which is not supported by the original disclosure is as follows:

a. The "cushioning effect" of the containing interior appears to involve new matter.

b. The metering mechanism divides the even, mixed raw material equally appears to involve new matter.

Applicant is required to cancel the new matter in the reply to this Office Action.

***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

5. Claims 1, 3, 4, 5, and 6 are rejected under 35 U.S.C. 102(b) as being anticipated by Garms (US 1,198,209).

The patent to Garms (US 1,198,209) discloses a device including a housing 7 with feed means 12, 13; outlet/discharge means 15, 16; a plurality of gears/pinions 9 arranged axially and transversely in the housing forming the recited mechanisms; and suitable drive means (Col. 2, lines 56-59).

6. Claims 1, 3, 4, 5, and 6 are rejected under 35 U.S.C. § 102(b) as being anticipated by Mylo (US 3,266,430).

The patent to Mylo (US 3,266,430) discloses a device including a housing 12, 13 with feed means 20; outlet/discharge means 21; a plurality of gears/pinions (Fig. 2) arranged axially and transversely in the housing forming the recited mechanisms; and suitable drive means with a drive shaft 16 (Col. 1, line 72 through col. 2, line 1).

### ***Response to Arguments***

7. Applicant's arguments filed 03 JUN 2003 have been fully considered but they are not persuasive.

Claim 2 was cancelled from the application and added only to the marked-up copy of claim 1 which is not examined. Accordingly, the clean copy of claim 1 which is now subject to examination (and ultimately printed in the patent if the application is allowed) is essentially the same version of claim 1 which was examined in the first office action and subject to the rejections under 35 USC 102(b). Since Applicant did not argue the merits of the 102(b) rejections, said rejections are repeated. The clean copy and marked-up copies of the claim (although no longer required pursuant to rule changes under 37 CFR 1.121) should agree and the clean copy version is the version that is examined. Any response to this final office action must comply with the revised amendment practice under 37 CFR 1.121 (see <http://www.uspto.gov/web/patents/ifw/> and the attachments included with this office action) or the response must be held nonresponsive.

The examiner advises Applicant to remove all occurrences on the new matter from the application (or successfully argue that such subject matter is supported). To define over the prior art, Applicant may wish to further define the intermeshing/non-intermeshing arrangement of the gears and the particular alignment of the gears as seen in the Figures (e.g., note the vertical alignment of the six gears 42, 41, 45, 46, 47, and 48 and all vertically adjacent gears intermesh while transversely arranged gears 41 and 44 are non-intermeshing). However, these or other such limitations will likely constitute new issues after final rejection which would preclude entry of any amendment including such changes.

8. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Charles E. Cooley whose telephone number is (703) 308-0112. The examiner can normally be reached on Mon-Fri.





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SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.

EXAMINER	
ART UNIT	PAPER NUMBER

DATE MAILED:

INFORMALITY RE PAYMENT OF FEE

The informality regarding the payment of the fee in connection with ☐ the original filing fee ☒ the amendment filed 3/31/04 is indicated below.

A. FEE DUE

- ☐ The amendment is considered incomplete in that the funds in Deposit Account No. \_\_\_\_\_ are insufficient to cover the entire fee due. The balance is due within the period set below.
- ☐ The amendment is considered an incomplete response, in that payment of \$ \_\_\_\_\_ is insufficient to cover the claims as shown in the attached Patent Application Fee Determination Record. Remittance is due within the period set below.
- ☐ The amendment has not been entered, since applicant has failed to remit (or authorize charge to a Deposit Account) the fee as indicated on the attached Patent Application Fee Determination Record. Remittance or authorization is due within the period set below.
- ☐ The filing fee of \$ \_\_\_\_\_ submitted in this application is insufficient.

A balance of \$ \_\_\_\_\_ is due for additional claims.

- ☒ *Amendment is not timely. 1 month extension is needed to process.*

APPLICANT IS GIVEN THE REMAINDER OF THE SET PERIOD FOR RESPONSE, OR ONE (1) MONTH FROM THE DATE OF THIS LETTER, WHICHEVER IS LONGER, WITHIN WHICH TO REMIT THE FEE OF \$ 110.00.

B. EXCESS PAYMENT:

- ☐ It is noted that payment of \$ \_\_\_\_\_ is in excess of the amount necessary to cover the claims now in the application. See the attached Patent Application Fee Determination Record.

This matter of refund or credit to your account is being referred to the Finance Officer, for his consideration.

*[Signature]*  
CLERK OF GROUP



DEAR DR. FARMER Niomi :

GOOD MORNING :

HOPEFULLY, YOU READ THIS LETTER AND  
PUT IT THROUGH WHOM MAY CONCERN.

AS AN INDEPENDENT INVENTOR, I  
RECEIVED MAIL LATE, FOR I'M NOT  
RESIDENT IN U. S. A.

THANKS FOR YOUR HELP.

BEST REGARDS

JUN-RONG LIN